# Research Article

# A tutorial on how to write evidence gap maps

#### Arindam Basu<sup>1</sup>

1. University of Canterbury, New Zealand

Evidence gap maps are visual matrices to show gaps in available evidence about a research questions. In order to construct an evidence gap map, you should select an answerable research question, search available literature, select studies, assess their quality or critically appraise their quality, and then tally each type of study for each intervention-outcome or exposure-outcome pair. After all the cells are filled or left blank, the blank cells in such a framework show the gaps in the evidence. We describe here the processes and do a demonstration step by step

# What are evidence gap maps

Evidence gap maps are visual documents where a set of interventions are plotted on the y axis and a set of outcomes are plotted on the x axis, and for each cell that each intervention and outcome define, a set of

available evidence in the form of the number of impact evaluation or primary studies such as randomised controlled trials or other forms of observational studies or systematic reviews or meta analyses are posted. Each meta-analysis, systematic review, and primary study is also described in terms of who were studied, what specific intervention was studied, how many were in each arm of the study, what were the results, and how different biases were addressed so that their overall quality scores are also made available; this is optional. For each meta-analysis or systematic review, how each of the studies included in the meta-analysis or systematic review was identified is posted, a description of the main hypothesis and key findings are provided and a summary of the quality appraisal of such meta-analysis and systematic review is provided. In final tally, there will be a number of boxes or cells that will remain unfilled or gaps might exist in such a matrix. These gaps present the

evidence gap and is presented in the form of a map.

Why would anyone consider to conduct an EGM? These are mainly conducted to address gaps in research and synthesis of data that are relevant to

policy making, but we can argue that such processes can be used anywhere or in any situation where we want to identify a gap in evidence.

Snilstveit et.al (2016) , whose documentation I have borrowed heavily on creating this tutorial, contend two areas that are relevant for producing

evidence gap maps:

- Where "absolute gaps" exist these include no primary studies or few primary studies exist on an intervention or exposure and outcome combination. For example, imagine that you are planning to study what interventions exist to address institutional bullying. But you find no randomised controlled trial is conducted by anyone to address what intervention might be useful for addressing organisational bullying. Then, such a gap is referred to as an absolute gap. Or for another example, say you want to find out what primary studies exist about interventions to mitigate poverty that occurs due to climate change related water level rise in endangered communities and find only a handful of primary studies but they are not of good quality of evidence. This is also a form of absolute gap where you want to draw attention to the fact that few studies exist but more studies need to be conducted.
- The second rationale is where "synthesis gaps" exist here, primary studies do exist, but these studies are not "synthesised" in the form of systematic reviews or meta-analyses. Hence, these are opportunities where you can conduct evidence gap map to see how new syntheses can be conducted. In any case, evidence gap maps are useful in laying out the "landscape" to make it clear as to what evidence exists and what is the quality of evidence that can then drive future research and investment efforts. In some sense, evidence gap maps are superior to systematic reviews alone for such purposes.

Evidence gap maps ("EGM") are superior to

systematic reviews ("SR") on several counts: these are visual and simple, providing people an easy access to key information. EGMs are fast and rapidly compiled while SRs are time consuming. Finally, SRs are of varied quality and are widely scattered all over the place. On the other hand, EGMs can

incorporate both SRs and primary studies and in each case, they are described and critically appraised for the reader to make best judgment.

# Step by step

Snilstveit and colleagues (Snilstveit et al. 2016) have provided a six-step approach for constructing gap maps as follows (See Figure 1)

#### **Develop Intervention/ Outcome framework**

- Review key policy documents and other literature (including existing IEs and SRs)
- Consult with key stakeholders including policymakers

#### Set Study Inclusion Criteria

- If the purpose is knowledge translation, focus on systematic reviews
- If the purpose is to inform research, also include primary studies

#### **Search for Relevant Studies**

 Using systematic methods, comprehensiveness of search depending on time and resources available as well as intended use of the EGM

#### Assess for Inclusion

Screen studies against substantive and study design inclusion criteria

### **Data Extraction and Critical Appraisal**

- Summarise included studies using a structured format and critically appraise SRs.
- · Extract descriptive information about included studies.

### Analysis and Visualisation

- Populate EGM with studies in appropriate cells
- Descriptive analysis of existing studies with implications for policy and research

Fig. 2. Summary of methods. IEs, impact evaluations; SRs, systematic reviews.

Figure 1. Steps of constructing an evidence gap map, from: Snitstveit et al article

Let's construct this step by step:

**Step 1**: First decide on a framework of interventions and outcomes and construct an empty shell of a table. Let's say we are interested in finding out what evidence and evidence gap exists for interventions aimed at health effects due to climate change and their mitigation. The first stepping stone for such a process will be to search for journal article or scholarly articles that have already

explored this issue to some extent and understand what interventions and what outcomes have been conducted. Say we conduct a preliminary search on <u>Google Scholar</u> and identify a paper by Walker et al (Walker et al. 2011) where they conducted a literature review of health promotion interventions for mitigation of health effects due to climate change. This is a good stepping stone for identifying further papers and initiatives. Other sources might be to search for dedicated and targeted literature databases to find out specific information about your own topic. Then, based on such reading of the literature and our web searches, we may set up a matrix like this:

	Outcomes			
Interventions	Respiratory Infection	Foodborne Infection	Active transport	Increased fruits and vegetable consumption
Social marketing and media advocacy				
Health Education				
Skill development				
Individual risk factor assessment				

As you can see, the matrix consists of rows and columns. Each row is one intervention, and each column is one outcome that we will consider for this evidence gap map.

Step 2: Set the inclusion and exclusion criteria for selecting your studies

This step is important as this will set the scene pretty much. We can set inclusion criteria to include certain range of years or more specifically dd/mm/yyyy. For example, you can decide that you will only include studies that are as follows:

- Studies published in English language only
- Studies published in peer-reviewed journals
- Studies that are ONLY systematic reviews (meta-analyses are included in this) or a mix of Systematic Reviews, and randomised controlled trials or impact evaluations
- Studies published in the last five years (hence the range will be between 01/01/2015 31/12/2020)

• Studies must study the specific intervention and outcomes in the table

You will include only such studies as would satisfy such criteria, and you will exclude all other studies. Snitsveit et al recommend that you make this decision as to what type of studies will be included based on what you want to do.

- If your goal is to inform policy decisions, then aim to conduct a evidence gap map on the basis of SRs,
- 2. If your goal is to inform what primary study can be conducted to mitigate the information gap, focus on primary studies and SRs as both will be useful.

## Step 3: Search literature.

Here, select a relevant set of databases and conduct your search. Before you conduct the search, it is useful to set up what we often refer to as PICO (population-intervention or exposure – comparator-outcome) frameworked search terms. It is always a good idea to work along with a librarian or information retrieval expert. Table 2 provides a sample PICO table for

PICO Terms	Explanations			
P (Participants)	Men and women, all age groups and ethnicity, all countries at risk of climate change			
I (Intervention)	Media advocacy or social marketing			
C (Comparison)	Standard approach or no approach			
O (Outcomes)	climate change related pneumonia or respiratory infections, mortality, or illness			

Table 3 provides a listing of various terms that one can use to search Pubmed to identify randomised controlled trials and systematic reviews and meta analyses covering these terms (additional restrictions would include Human studies only, English language, published in the last five years)

Search number	Query	Search Details		
13	#3 AND #12	("social marketing"[Title/Abstract] OR "media advocacy"[Title/Abstract]) AND ("pneumonia"[Title/Abstract] OR "respiratory infection"[Title/Abstract])		
12	"pneumonia"[Title/Abstract] OR "respiratory  #5 OR #7  infection"[Title/Abstract]		138669	
11	"social marketing"[Title/Abstract] AND (("climate change"[Title/Abstract] AND "pneumonia"[Title/Abstract] AND "climate change"[Title/Abstract] AND "respirate infection"[Title/Abstract]))		0	
10	#3 AND #9	("social marketing"[Title/Abstract] OR "media advocacy"[Title/Abstract]) AND (("climate change"[Title/Abstract] AND "pneumonia"[Title/Abstract]) OR ("climate change"[Title/Abstract] AND "respiratory infection"[Title/Abstract]))		
9	#6 OR #8	("climate change"[Title/Abstract] AND "pneumonia"[Title/Abstract]) OR ("climate change"[Title/Abstract] AND "respiratory infection"[Title/Abstract])		
8	#4 AND #7	"climate change"[Title/Abstract] AND "respiratory infection"[Title/Abstract]		
7	respiratory infection[Title/Abstract]	"respiratory infection"[Title/Abstract]		
6	"climate change"[Title/Abstract] AND #4 AND #5 "pneumonia"[Title/Abstract]		33	
5	pneumonia[Title/Abstract]	ct] "pneumonia"[Title/Abstract]		
4	climate change[Title/Abstract]	"climate change"[Title/Abstract]	39610	

Search number	Query	Search Details		
3	#1 OR #2	"social marketing"[Title/Abstract] OR "media advocacy"[Title/Abstract]	2274	
2	media advocacy[Title/Abstract]	"media advocacy"[Title/Abstract]	177	
1	social marketing[Title/Abstract]	"social marketing"[Title/Abstract]		

As can be seen in the above table, no study was identified that had respiratory infection related illness outcomes (pneumonia, or other respiratory illnesses) that were relevant for climate change and that also were addressed with social market or media advocacy. However, several thousand studies were available for climate change and respiratory illnesses and pneumonia, and only a couple of studies looked into respiratory illnesses or pneumonia and media advocacy or social marketing. Hence, we have not identified any study worth reporting here, and this is an evidence gap at this stage.

Step 4: Extract data from individual studies, write user friendly summaries

- Extract descriptive information from individual studies. This includes the title of the study, the
  first author, the year of publication, the type of study, the population studied, the intervention, the
  main outcome, the outcome measures, the results, and the conclusion.
- Write in brief a brief background of the problem, the methods used, and the main findings of the study. You can optionally write a critical review of the study itself. However, as you may find yourself working with hundreds of studies, a thorough critical appraisal may be beyond the scope of this work.

In Table 4, we provide an example of extraction of information from a study that can be included in an evidence map. For this study, let's say we have identified an article by Dimitrova et al. (2021) and we want to extract information from such an article. The extracted table may look like as follows:

Study title	Year	Publication type	Population	Intervention or Exposure	Outcome	Measure	Results
Association between ambient temperature and heat waves with mortality in South Asia	2020	SR	South Asian population	Ambient Temperature and heat waves	All cause deaths	Mortality increases in percentage per unit degree centigrade increase in temperature	0.2% to 3.2% increase in death rates per unit increase in temperature

## Summary of this paper

Climate change is associated with increased global temperature. Increased temperature in turn is associated with increased risk of death. Heat waves are episodes of increased temperature and are known to be associated with increased risk of deaths worldwide; however, the authors argued a lack of summary estimate of such associations between heat waves and rising temperature and deaths from South Asia. Hence they conducted a systematic review of the literature to study the association between rising temperature and heat waves with risk of death. They found that per unit increase in the ambient temperature, the risk of deaths from all causes among adults increased anywhere between 0.2 percent to 3 percent in South Asia.

Step 5: Present a visual overview of the evidence by creating marks in the table you created in Step 1. There may be several different ways of creating these visual summaries. If you use both systematic reviews and primary studies for your evidence mapping, then, use different coloured circles for your systematic reviews and primary studies. You can alternatively used different shapes for representing your study types, such as circles for systematic reviews and squares for primary studies. Further, depending on the number of each study type you can alter the size of such circles and squares. If you choose to include quality appraisal indicators, you can additionally colour the border of such studies with high quality studies as "green," medium quality studies as "blue" and poor quality studies as "red" borders. At the least, you can indicate how many of each study type you identified in the evidence mapping exercise. If no study was identified or if no study was found that met your criteria, then you can leave the cell blank in the table. Otherwise, at the simplest you can fill them with various

numbers pertaining to each study type. In Figure 2, we provide an example of a completed evidence gap map for your review.

Following the presentation of the evidence gap map visual table, write a brief summary of the implications of such a map and what are the policy or research implications might be. For example, imagine that you are planning to develop an evidence gap map for what needs to be done policy wise to improve cognitive ability of children in primary schools and you have identified research or evaluation gaps. Then write about the presence of such gaps and the research needs. in the report, describe the methods you used to develop the gap map, and mention or indicate the kind of studies you obtained and have used in constructing the gap map.

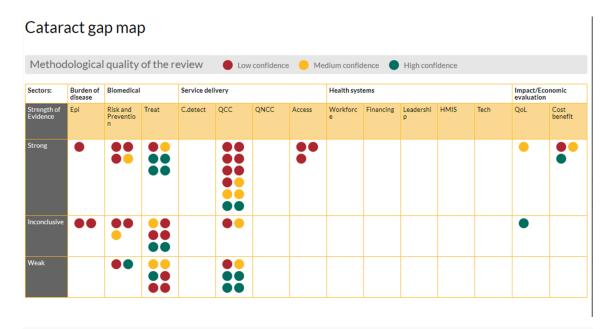


Figure 2. Cataract gap map from sightsavers.org, see more here:

https://www.sightsavers.org/blogs/2017/05/eye-health-evidence-gap-maps/

In this map, as you can see, instead of interventions, they used "strength of evidence," and the columns were organised according to their choice of variables such as "burden of disease," "service delivery" and "health systems performance" and so on. This is an indication that an evidence gap map can be variously used and configured.

Summary

An evidence gap map is a powerful visualisation tool to identify the extant knowledge and gaps that

exist in the literature. Such maps help the policymakers and researchers themselves to understand

where lack of knowledge in their respective fields and approaches exist and ways to remedy such gaps.

The steps are similar to constructing systematic reviews except that in gap map construction, a formal

synthesis of study results is not necessary, and often, because of time constraints, researchers do not

have to critically appraise individual studies. However, critical appraisal of included studies is likely to

improve the quality of evidence gap maps as well. Finally, such maps can be living documents that can

be updated as needed to explore and remedy absolute versus synthesis gaps.

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